



NORTHEAST OHIO AREAWIDE COORDINATING AGENCY

MEMORANDUM

TO: NOACA Board of Directors

FROM: Grace Gallucci, Executive Director

DATE: September 4, 2015

RE: Resolution 2015-045 – SFY 2015 Capital Programs Summary and Carry Forward

ACTION REQUESTED

The Board of Directors is asked to approve an approach for the expenditure of funds which become available during the implementation of the state fiscal year 2016-2019 Transportation Improvement Program (SFY 2016-2017 TIP). The Finance and Audit Committee recommends this action.

BACKGROUND/JUSTIFICATION FOR CURRENT ACTION

The Ohio Department of Transportation (ODOT) distributes Federal Highway Administration (FHWA) funds to NOACA for capital transportation improvement programs. Funding is allocated annually to NOACA through the Surface Transportation Program (STP) in the amount of \$27,575,220 and Transportation Alternatives Program (TAP) in the amount of \$2,757,521. The total SFY 2015 budget for these programs, which included the original annual allocation, previous SFY carry forward, MPO redistribution, and MPO exchange funding, was \$49.4 M. \$44.5 M of those funds were encumbered for projects in SFY 2015, and the remaining \$4.9 M (10%) is projected to carry forward to SFY 2016. In addition to the STP and TAP programs, NOACA participated in the management of the Statewide Congestion Mitigation and Air Quality (CMAQ) program of project for SFY 2015. A summary of SFY 2015 budget is contained in the attached table.

Funds can be made available due to carry forward that was originally planned for expenditure in a previous SFY, projects awarded to bid under estimate, change orders to adjust for actual realized material and/or labor quantities, delayed or canceled projects or the receipt of new revenue.

In SFY 2016, available funding will first be prioritized to meet delayed SFY 2015 project commitments. Second, available funding will be prioritized to ensure fiscal constraint for the SFY 2016 Board approved TIP projects. At their August 2015 meeting, the Finance and Audit Committee recommended the following hierarchy of approaches to prioritize the expenditure of any remaining SFY 2016 STP and TAP funding that becomes available:

1. Reduce planned debt requirements
2. Advance future TIP project(s)
3. Fund new projects
4. Reserve for potential project cost increases

Currently, there is \$961,513 of STP and \$600,176 of TAP program funds projected to be available. Actual SFY 2016 budget numbers will not be known until ODOT finalizes its reconciliation of previous fiscal year project costs and any true-up adjustments needed based on actual federal revenue received.

FINANCIAL IMPACT

Available SFY 2016 funding will be prioritized toward projects consistent with the approved approach. There is no impact to other 2016-2019 TIP projects.

CONCLUSION/NEXT STEPS

With Board approval, available SFY 2016 funding will be prioritized toward projects consistent with the approved hierarchy of approaches.

GG/r/2853b

Attachment: NOACA Capital Program Budget and Program Tables

NOACA 2015 ACTUAL AND 2016-2019 ESTIMATED CAPITAL PROGRAM BUDGET SUMMARY

NOACA STP PROGRAM SUMMARY	2015	2016	2017	2018	2019
ORIGINAL ALLOCATION (ANNUAL)	\$27,275,220	\$27,275,220	\$27,575,220	\$27,575,220	\$27,575,220
PFY CARRY FORWARD UNCOMMITTED	\$4,780,206	\$2,981,155	\$961,513	\$580,436	\$1,176,812
PFY CARRY FORWARD COMMITTED	\$5,711,075	\$2,358,930	\$0	\$0	\$0
MPO BUDGET EXCHANGE	\$14,100,000	(\$14,100,000)	\$0	\$0	\$0
TOTAL AVAILABLE BUDGET	\$51,866,501	\$18,515,305	\$28,536,733	\$28,155,655	\$28,752,032
PROJECT COMMITMENTS	\$44,808,312	\$17,151,659	\$27,956,297	\$26,978,844	\$24,249,086
CHANGE ORDERS	\$1,718,104	\$402,133	\$0	\$0	\$0
TOTAL COMMITMENTS	\$46,526,416	\$17,553,792	\$27,956,297	\$26,978,844	\$24,249,086
ANNUAL BALANCE (VS. ORIGINAL)	(\$19,251,196)	\$9,721,428	(\$381,077)	\$596,376	\$3,326,134
CUMULATIVE BALANCE	\$5,340,085	\$961,513	\$580,436	\$1,176,812	\$4,502,946

NOACA TAP PROGRAM SUMMARY	2015	2016	2017	2018	2019
ORIGINAL ALLOCATION (ANNUAL)	\$2,757,521	\$2,757,521	\$2,757,521	\$2,757,521	\$2,757,521
PFY CARRY FORWARD UNCOMMITTED	\$1,067,011	\$0	\$600,176	\$257,697	\$567,631
PFY CARRY FORWARD COMMITTED	\$0	\$1,634,541	\$0	\$0	\$0
MPO BUDGET EXCHANGE	\$650,000	(\$650,000)	\$0	\$0	\$0
TOTAL AVAILABLE BUDGET (SUBTOTAL)	\$3,824,532	\$3,742,062	\$3,357,697	\$3,015,218	\$3,325,152
PROJECT COMMITMENTS	\$2,150,733	\$3,141,886	\$3,100,000	\$2,447,587	\$3,172,000
CHANGE ORDERS	\$39,258	\$0	\$0	\$0	\$0
TOTAL COMMITMENTS	\$2,189,991	\$3,141,886	\$3,100,000	\$2,447,587	\$3,172,000
ANNUAL BALANCE (VS. ORIGINAL)	\$567,530	(\$384,365)	(\$342,479)	\$309,934	(\$414,479)
CUMULATIVE BALANCE	\$1,634,541	\$600,176	\$257,697	\$567,631	\$153,152

STATEWIDE CMAQ PROGRAM SUMMARY	2015	2016	2017	2018	2019
TOTAL AVAILABLE BUDGET	\$15,366,427	\$9,789,466	\$20,511,095	\$18,936,305	\$17,537,360
TOTAL COMMITMENTS	\$15,366,427	\$9,789,466	\$20,511,095	\$18,936,305	\$17,537,360
TOTAL BALANCE	\$0	\$0	\$0.00	\$0	\$0

**RESOLUTION 2015-045
(SFY 2015 CAPITAL PROGRAMS
SUMMARY AND CARRY
FORWARD)**

**RESOLUTION OF THE BOARD OF DIRECTORS
OF THE
NORTHEAST OHIO AREAWIDE COORDINATING AGENCY**

WHEREAS, the Northeast Ohio Areawide Coordinating Agency (NOACA) is the Metropolitan Planning Organization (MPO) for the counties of Cuyahoga, Geauga, Lake, Lorain, and Medina, and the areawide water quality management agency for the same region; and

WHEREAS, the Ohio Department of Transportation (ODOT) distributes Federal Highway Administration (FHWA) funds to NOACA for capital transportation improvement programs; and

WHEREAS, funding is allocated annually to NOACA through the Surface Transportation Program (STP) in the amount of \$27,575,220 and Transportation Alternatives Program (TAP) in the amount of \$2,757,521; and

WHEREAS, currently, there is an estimated \$961,513 of STP and \$600,176 of TAP program funds projected to be available. Actual SFY 2016 budget numbers will not be known until ODOT finalizes its reconciliation of previous fiscal year project costs and any true-up adjustments needed based on actual federal revenue received; and

WHEREAS, funds can be made available due to carry forward that was originally planned for expenditure in a previous SFY, projects awarded to bid under estimate, or change orders to adjust for actual realized material and/or labor quantities.

WHEREAS, in SFY 2016, carry forward funding will first be prioritized to meet delayed SFY 2015 project commitments and second, to ensure fiscal constraint for the SFY 2016-2019 Board approved Transportation Improvement Program (TIP) projects; and

WHEREAS, the Finance and Audit Committee recommended the hierarchy of approaches contained in Section 1 to prioritize the expenditure of any additional STP and TAP funding that is available and uncommitted in SFY 2016; and

WHEREAS, there will be no impact to other 2016-2019 TIP project funding commitments.

NOW, THEREFORE, BE IT RESOLVED by the Board of Directors of the Northeast Ohio Areawide Coordinating Agency, consisting of 45 principal officials serving general purpose local governments throughout and within the counties of Cuyahoga, Geauga, Lake, Lorain, and Medina that:

Section 1: Available SFY 2016 funding will be prioritized toward projects consistent with the below hierarchy of approaches:

1. Reduce planned debt requirements
2. Advance future TIP project(s)
3. Fund new projects
4. Reserve funding for potential project cost increases

Section 2: The Executive Director is authorized to transmit certified copies of this resolution to appropriate federal, state, and local agencies.

**RESOLUTION 2015-045
(SFY 2015 CAPITAL PROGRAMS
SUMMARY AND CARRY
FORWARD)**

Directors of the Northeast Ohio Areawide Coordinating
Agency adopted this 11th day of September 2015.

Secretary: Michael J. Summer

Date Signed: September 11, 2015